

**EXHIBIT A****Plaintiff's 12/5/16 Amended List of Exhibits**

<b>Pl. Ex. #</b>	<b>Date</b>	<b>Description</b>	<b>Bates Number</b>	<b>Objection</b>
1	4/26/2010	Meeting Notes Hedges and Jang	HSBCPIC-RIST00002197	None
2	5/9/2011	Sametime Chat Patel and Hedges	HSBC-ST00004464	Relevance; Hearsay; Foundation
3	5/18/2011	Sametime Chat DeLuca and Doe	HSBCDLTDOC-00010583	Relevance; Hearsay; Foundation
4	5/23/2011	Sametime Chat Baldwin and Hedges	HSBC-ST00001931	Relevance; Hearsay; Foundation
5	6/7/2011	Sametime Chat Hedges and Doe	HSBCPIC00003504	Relevance; Hearsay; Foundation
6	6/21/2011	Sametime Chat Hedges and Picarella	HSBC-ST00003832	None
7	6/23/2011	Sametime Chat Picarella and Hedges	HSBC-ST00003825-3826	Relevance; Hearsay
8	6/24/2011	Sametime Chat Hedges and Picarella	HSBC-ST00003844	None
9	6/27/2011	Sametime Chat Picarella and Hedges	HSBC-ST00006001	Relevance; Hearsay; Foundation
10	7/7/2011	Sametime Chat Doe and DeLuca	HSBC-ST00000340	Relevance; Prejudicial
11	7/22/2011	Sametime Chat Doe and DeLuca	HSBC-ST00000179	Relevance; Hearsay
12	7/26/2011	Sametime Chat DeLuca and Doe	HSBC-ST00000186-187	Relevance; Hearsay; Foundation
13	7/28/2011	Sametime Chat White and Hedges	HSBC-ST00003616	Relevance; Hearsay; Foundation
14	8/7/2011	Sametime Chat Hedges and Picarella	HSBC-ST00003882	Relevance; Hearsay
15	8/9/2011	Sametime Chat Picarella and Hedges	HSBC-ST00003881	Hearsay
16	8/11/2011	Sametime Chat Doe and DeLuca	HSBC-ST00000082	Relevance; Hearsay
17	8/15/2011	Sametime Chat Hedges and Gottardi	HSBC-ST00002407-2408	Relevance; Hearsay; Foundation
18	8/16/2011	Sametime Chat DeLuca and Doe	HSBC-ST00000157-159	Relevance; Hearsay
19	8/17/2011	Mid-Year Manager Review	HSBCPIC00000095-99	None

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20	8/18/2011	Sametime Chat McNierney and Doe	HSBC-ST00000138	Relevance; Hearsay
21	8/23/2011	Sametime Chat Picarella and Hedges	HSBC-ST00003556	None
22	8/24/2011	Sametime Chat Murray and Rogers	HSBC-ST00007060	Relevance; Hearsay; Foundation
23	8/25/2011	Sametime Chat Hedges and Barino	HSBC-ST00002419	Relevance; Hearsay; Prejudicial
24	8/25/2011	Sametime Chat Barino and Hedges	HSBC-ST00002418	Relevance; Hearsay
25	9/6/2011	Sametime Chat Massey and Hedges	HSBC-ST00002416	Hearsay
26	9/7/2011	Sametime Chat Hedges and Barino	HSBC-ST00002298-2299	Relevance; Hearsay
27	9/8/2011	Sametime Chat Bottorff and Hedges	HSBC-ST00002312	Relevance; Hearsay
28	9/13/2011	Sametime Chat Picarella and Hedges	HSBC-ST00006097	Relevance; Hearsay; Foundation
29	9/16/2011	Sametime Chat Hedges and Picarella	HSBC-ST00003516	Relevance; Hearsay
30	9/23/2011	Sametime Chat DeLuca and Doe	HSBC-ST00000241	Relevance; Hearsay
31	9/26/2011	Sametime Chat DeLuca and Doe	HSBC-ST00000231	Relevance; Hearsay; Prejudicial; Foundation
32	9/26/2011	Sametime Chat DeLuca and Doe	HSBC-ST00000235	Relevance; Hearsay
33	10/4/2011	Sametime Chat Dalton and Hedges	HSBC-ST00002325	Relevance; Hearsay
34	10/6/2011	Sametime Chat Picarella and DeLuca	HSBC-ST00003954	Relevance; Hearsay
35	10/11/2011	Sametime Chat DeLuca and Doe	HSBC-ST00000675	Relevance; Hearsay; Foundation
36	10/11/2011	Sametime Chat DeLuca and Doe	HSBC-ST00000662-663	Relevance; Hearsay
37	10/11/2011	Sametime Chat Doe and DeLuca	HSBC-ST00000682	Relevance; Hearsay; Foundation
38	10/27/2011	Sametime Chat DeLuca and Doe	HSBC-ST00000812	Relevance; Hearsay; Foundation
39	10/31/2011	Sametime Chat Doe and DeLuca	HSBC-ST00000842-844	Hearsay
40	11/10/2011	Email Hedges to Baldwin	HSBCDLTDOC-00011476	Relevance; Hearsay

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41	11/11/2011	Email Hedges to Bottorff	HSBCDLTDOC-00011530-11531	Relevance; Hearsay
42	11/14/2011	Sametime Chat Hedges and Baldwin	HSBCDLTDOC-00021690	Relevance; Hearsay
43	11/14/2011	Sametime Chat Murray and Hedges	HSBC-ST00004936	Relevance; Hearsay
44	11/18/2011	Email Hedges to Havraniak	HSBCDLTDOC-00011595-11598	Relevance; Hearsay
45	12/1/2011	Sametime Chat Picarella and Rogers	HSBC-ST00003943	None
46	12/7/2011	Sametime Chat DeLuca and Doe	HSBC-ST00000541-542	None
47	12/15/2011	Sametime Chat Hedges and Jang	HSBC-ST00004860	Relevance; Prejudicial
48	12/16/2011	Email Picarella to Freer	Picarella000636-645	None
49	12/16/2011	Sametime Chat Hedges and Jang	HSBC-ST00002442	Relevance
50	12/21/2011	Year-End Manager Review	HSBCPIC00000106-107	None
51	12/21/2011	Year-End Manager Review	HSBCPIC00001914-1915	Duplicative
52	5/5/2011-12/31/2011	Year-End Self-Assessment	HSBCPIC00002003-2004	None
53	1/25/2012	Sametime Chat Doe and DeLuca	HSBCPIC00003506	Relevance; Hearsay
54	1/25/2012	Sametime Chat DeLuca and Doe	HSBCPIC00003508	Relevance; Hearsay; Prejudicial; Foundation
55	2/4/2012	Email Hedges to Doe	HSBCDLTDOC-00019126-19128	Relevance; Hearsay
56	2/7/2012	Email Hedges to Doe	HSBCDLTDOC-00019183-19184	Relevance; Hearsay
57	3/3/2012	Email Hedges to Weiss	HSBCDLTDOC-00011742	Relevance; Hearsay; Completeness
58	3/3/2012	Email Hedges to Weiss	HSBCDLTDOC-00011745-11746	Relevance; Hearsay
59	3/6/2012	Email Hedges to Calarco	HSBCDLTDOC-00011787-11788	Relevance; Hearsay
60	3/7/2012	Sametime Chat Hedges and Baldwin	HSBCDLTDOC-00021726	Relevance; Hearsay; Foundation
61	3/8/2012	Email Hedges to Weiss	HSBCDLTDOC-00011804-11805	Relevance; Hearsay

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62	3/14/2012	Sametime Chat Hedges and Doe	HSBCPIC00005064	None
63	4/2/2012	Sametime Chat Doe and Kaminsky	HSBCDLTDOC-00011245-11246	Relevance; Hearsay
64	4/2/2012	Email Hedges to Michael Picarella	HSBCPIC00000943	None
65	4/9/2012	Sametime Chat Hedges and Doe	HSBC-ST00003227	None
66	4/9/2012	Sametime Chat Hedges and Doe	HSBC-ST00003232	None
67	4/9/2012	Sametime Chat Hedges and White	HSBC-ST00003609	None
68	4/11/2012	Email Weiss to Weiss	HSBCPIC-RIST00001535	None
69	4/12/2012	Sametime Chat Hedges and Doe	HSBC-ST00003254	None
70	4/12/2012	Sametime Chat Hedges and Patel	HSBC-ST00001913	None
71	4/12/2012	Sametime Chat Hedges and Doe	HSBCDLTDOC-00011294	Relevance; Hearsay; Foundation
72	4/12/2012	Sametime Chat Hedges and Doe	HSBCDLTDOC-00011295	Relevance; Hearsay; Foundation
73	4/12/2012	Sametime Chat Hedges and Doe	HSBCDLTDOC-00011296	None
74	4/13/2012	Email Picarella to Weiss	HSBCPIC00007110	Completeness
75	4/13/2012	Email Weiss to Picarella	HSBCPIC00007113	None
76	4/16/2012	Sametime Chat Hedges and Jenner	HSBC-ST00001923	None
77	4/16/2012	Sametime Chat Doe and Hedges	HSBCDLTDOC-00011303	None
78	4/16/2012	Sametime Chat Doe and Eileen Hedges	HSBCDLTDOC-00011305	None
79	4/16/2012	Sametime Chat Carol Jenner and Smith	HSBC-ST00002695	Relevance; Hearsay; Foundation
80	4/16/2012	Sametime Chat Doe and Hedges	HSBC-ST00003248	None
81	4/16/2012	Email Weiss to Weiss	HSBCPIC-RIST00001444-1445	None
82	4/17/2012	Sametime Chat Doe and Hedges	HSBCDLTDOC-00011307	None
83	4/18/2012	Email Weiss to Weiss	HSBCPIC00007026	None

Pl. Ex. #	Date	Description	Bates Number	Objection
84	4/19/2012	Email Picarella to Weiss	HSBCPIC00007128-7129	None
85	4/23/2012	Calendar Entry Notice	HSBCPIC00005234	None
86	4/25/2012	Sametime Chat Hedges and Doe	HSBC-ST00003085	Relevance; Hearsay
87	4/25/2012	Email Weiss to Weiss	HSBCPIC00001997	None
88	4/26/2012	Email Weiss to Picarella	HSBCPIC00001077	None
89	4/26/2012	Email Picarella to Weiss	HSBCPIC00001704-1705	None
90	4/27/2012	Sametime Chat Hedges and Baldwin	HSBC-ST00001835	Relevance; Hearsay; Foundation
91	4/30/2012	Calendar Entry Notice	HSBCPIC00004918	None
92	5/1/2012	Sametime Chat Hedges and Doe	HSBC-ST00005348	Relevance; Hearsay; Foundation
93	5/1/2012	Sametime Chat Doe and Hedges	HSBC-ST00003070	Relevance; Hearsay
94	5/3/2012	Sametime Chat Doe and DeLuca	HSBCDLTDOC-00010458	Relevance; Hearsay
95	5/7/2012	Email Hedges to Weiss	HSBCPIC-RIST00002290	Relevance; Hearsay; Completeness
96	5/7/2012	Email Hedges to Weiss	HSBCPIC-RIS00002291-2292	Relevance; Hearsay
97	5/7/2012	Email Jang to Weiss	HSBCPIC-RIST00002696	None
98	5/22/2012	Sametime Chat Hedges and DeRose	HSBC-ST00001868-1869	Relevance; Hearsay; Prejudicial
99	5/30/2012	Email Weiss to Weiss	HSBCPIC-RIST00002267-2268	None
100	5/31/2012	Email White to Hedges	HSBCPIC00007197	Relevance; Hearsay
101	5/31/2012	Email White to Hedges	HSBCDLTDOC-00012105-12106	Relevance; Hearsay
102	5/31/2012	Email Li to Weiss	HSBCPIC-RIST00002326	Relevance; Hearsay; Inadmissible per stipulation
103	5/31/2012	Sametime Chat Murray and Hedges	HSBCDLTDOC-00000022	Relevance; Hearsay; Foundation
104	5/31/2012	Sametime Chat Murray and Hedges	HSBC-ST00006960	Duplicative; Relevance; Hearsay; Foundation
105	5/31/2012	Sametime Chat Doe and Hedges	HSBC-ST00003133	Relevance; Hearsay

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106	6/1/2012	Sametime Chat Jenner and Hedges	HSBC-ST00001850	Relevance; Hearsay
107	6/15/2012	Email Hedges to Rogers	HSBCPIC00002821-2824	None
108	6/25/2012	Sametime Chat Saez and Hedges	HSBC-ST00002169	Relevance; Hearsay; Inadmissible per stipulation
109	6/25/2012	Email Weiss to Weiss	HSBCPIC-RIST00001196	None
110	6/26/2012	Sametime Chat Jenner and Hedges	HSBC-ST00002162	None
111	6/28/2012	Meeting notes	HSBCPIC-RIST00002204-2206	None
112	7/1/2012	Email Weiss to Weiss	HSBCPIC-RIST00001263-1264	None
113	7/1/2012	Email Picarella to Weiss	HSBCPIC00001702-1703	None
114	7/2/2012	Sametime Chat Jenner and White	HSBC-ST00003632	Relevance; Hearsay; Foundation
115	7/5/2012	Email Whang to Weiss	HSBCPIC-RIST00002327-2328	None
116	7/6/2012	Sametime Chat White and Jenner	HSBC-ST00003655	Relevance; Hearsay; Foundation
117	7/12/2012	Sametime Chat Jang and Whang	HSBC-ST00006835	Relevance; Hearsay
118	7/23/2012	Meeting Notes, Hedges and Jang	HSBCPIC-RIST00002200-2201	None
119	7/23/2012	Meeting Notes, Hedges and Jang	HSBCPIC-RIST00002198-2199	None
120	7/24/2012	Discussion Notes, Hedges and Jang	HSBCPIC-RIST00002202	None
121	7/25/2012	Email Weiss to Weiss	HSBCPIC00002005	None
122	7/26/2012	Email Picarella to Weiss	HSBCPIC-RIST00001030-1033	None
123	7/26/2012	Email Li to Weiss	HSBCPIC00002000-2004	None
124	7/30/2012	Email White to Park, Jang and Weiss	HSBCPIC-RIST00003186-3189	None
125	7/30/2012	Email Pizzimbono to Pizzimbono	HSBCPIC00006978	None
126	8/27/2012	Final Written Warning to Hedges	HSBCPIC-RIST00002203	None
127	8/31/2012	Email Li to Jang	HSBCPIC00001910-1922	Completeness
128	8/31/2012	Email Jang to Weiss	HSBCPIC00001923-1925	None

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129	8/2012	GB&M Suitability and Dodd-Frank	Picarella004820-4826	Best evidence; Relevance; Hearsay; Foundation
130	9/7/2012	Email Picarella to Pizzimbono	HSBCPIC00001538	None
131	9/10/2012	Email Whang to Weiss	HSBCPIC-RIST00002271-2272	Relevance; Hearsay; Prejudicial; Sensitive and confidential personnel information
132	9/11/2012	Email Weiss to White	HSBCPIC00001956 1957	Hearsay
133	9/11/2012	Email Picarella to Pizzimbono	HSBCPIC00007011	Relevance; Hearsay; Foundation
134	9/11/2012	Email Pizzimbono to White and Weiss	HSBCPIC00001937-1938	None
135	9/11/2012	Sametime Chat White and Hedges	HSBC-ST00003622	Relevance; Hearsay
136	9/12/2012	Email White to Weiss	HSBCPIC00001977-1979	Hearsay; Completeness
137	9/12/2012	Email White to Pizzimbono	HSBCPIC00006986	Relevance; Hearsay; Foundation
138	9/13/2012	Email White to Weiss	HSBCPIC-RIST00002252-2253	None
139	9/14/2012	Email White to Picarella	HSBCPIC00005144-5145	Completeness
140	9/14/2012	Sametime Chat White and Hedges	HSBC-ST00003625	Relevance; Hearsay; Foundation
141	9/18/2012	Email White to Picarella	HSBCPIC00001786-1788	None
142	9/18/2012	Email White to Picarella	HSBCPIC00001795-1797	None
143	9/18/2012	Email Picarella to Bilbrey	HSBCPIC00006315	Hearsay; Completeness
144	9/21/2012	Email Pak to Descamps, White, and Pizzimbono	HSBCPIC00001941 to HSBCPIC00001945	Relevance; Completeness
145	9/24/2012	Record of Investigation	HSBCPIC00012481-12486	None
146	9/24/2012	Mid-Year Manager Review	HSBCPIC00000100-105	None
147	9/26/2012	Sametime Chat Malanga and Kane	HSBC-ST00000062	Hearsay; Foundation

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148	10/2/2012	Malanga Notes, Picarella Meeting	HSBCPIC-RIST00003073-3077	Best evidence; Hearsay
149	10/2/2012	Email Picarella to Malanga	HSBCPIC052887-52889	None
150	10/3/2012	Picarella/Malanga Notes	HSBCPIC00000025	Best evidence; Hearsay; Completeness
151	10/4/2012	Email Malanga to Picarella	Picarella002429-2430	Best evidence; Hearsay
152	10/5/2012	Email White to Picarella	HSBCPIC00005146-5147	Completeness
153	10/5/2012	Email White to Picarella	HSBCPIC00001790-1794	None
154	10/9/2012	Email White to Feau	HSBCPIC00007204	Relevance; Hearsay; Completeness
155	10/9/2012	Email Malanga to Picarella	Picarella002483-2487	None
156	10/9/2012	Sametime Chat White and Jenner	HSBC-ST00003654	Relevance; Hearsay
157	10/10/2012	Email Weiss to Weiss	HSBCPIC-RIST00002269	Hearsay; Completeness; Privilege
158	10/10/2012	Email Weiss to Weiss	HSBCPIC-RIST00002275	Hearsay; Privilege
159	10/11/2012	Email Picarella to Bilbrey, Malanga, and Harmon	HSBCPIC00000001-9	None
160	10/12/2012	Sametime Chat Jenner and White	HSBC-ST00006886	Relevance; Hearsay; Completeness
161	10/25/2012	Email Hatem to Malanga	HSBCPIC-RIST00000586-587	Hearsay; Completeness
162	12/11/2012	Email Weiss to Weiss	HSBCPIC-RIST00002322	Relevance; Hearsay; Privilege
163	12/18/2012	Email Picarella to White	HSBCPIC00002319-2325	None
164	1/16/2013	Email Picarella to Bilbrey	HSBCPIC00004943	None
165	1/17/2013	Email Picarella to Bilbrey	HSBCPIC00004944	None
166	1/18/2013	Email Picarella to Bilbrey	Picarella002836	Completeness

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167	1/23/2013	Email Whang to Weiss	HSBCPIC-RIST00002283-2284	Relevance; Hearsay; Sensitive and confidential personnel information
168	1/30/2013	Email Doernberg to Jenner and White	HSBCPIC00006692	Hearsay
169	2/5/2013	Sametime Chat Sparks and Jenner	HSBC-ST00001595	Relevance; Hearsay
170	2/7/2013	Email Anthony to Jenner	HSBCPIC00006520-6521	Hearsay
171	2/8/2013	Email O'Leary to Jenner	HSBCPIC00006529	Hearsay
172	2/10/2013	Email Wilens to Jenner	HSBCPIC00006526-6527	Hearsay
173	2/11/2013	Email Bliss to Jenner	HSBCPIC00006528	Hearsay
174	2/11/2013	Email Valdes to Jenner	HSBCPIC00006522	Hearsay
175	2/12/2013	Email Picarella to Pizzimbono	HSBCPIC-RIST00002258-2260	Hearsay; Completeness
176	2/12/2013	Email Nicotra to Jenner	HSBCPIC00006523-6525	Hearsay
177	2/13/2013	Email Peison to Jenner	HSBCPIC00006536	None
178	2/13/2013	Email Picarella to Brady	HSBCPIC00004456-4459	Hearsay
179	2/13/2013	Email Lashmet to Jenner	HSBCPIC00006535	Hearsay
180	2/25/2013	Email Park to White	HSBCPIC00007316-7317	None
181	2/27/2013	Email Park to White	HSBCPIC00007314	Hearsay
182	3/5/2013	Email Park to Weiss	HSBCPIC00023530-23531	None
183	3/20/2013	Email Bilbrey to Picarella	HSBCPIC00012859-12860	None
184	3/20/2013	Organizational Charts	HSBCPIC-RIST00000537-562	None
185	4/22/2013	Email Jong to Weiss; Park, Whang, Hunter, and Pak	HSBCPIC-RIST00003252-3254	Relevance; Hearsay; Sensitive and confidential personnel information
186	4/23/2013	Email Picarella to Pizzimbono	HSBCPIC00005068-5069	Hearsay; Completeness
187	4/24/2013	Email Picarella to Picarella	HSBCPIC00003183 3184	Authenticity; Foundation
188	4/30/2013	Email Montgomerie to Weiss	HSBCPIC00007073	Relevance; Hearsay

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189	5/8/2013	Email Karam to Pizzimbono	HSBCPIC00001946-1949	Relevance; Hearsay
190	5/13/2013	Year-End Manager Review	HSBCPIC0000108-114	None
191	5/21/2013	Sametime Chat Jenner and Karam	HSBC-ST00001614-1617	None
192	5/23/2013	Sametime Chat Karam and Jenner	HSBC-ST00002748	Relevance; Hearsay
193	6/7/2013	Sametime Chat Jenner and Karam	HSBC-ST00001575	None
194	6/25/2013	Email Levelis to Pizzimbono	HSBCPIC00023369-23371	Relevance; Hearsay
195	7/2/2013	Sametime Chat Karam and Jenner	HSBC-ST00001567-1568	None
196	7/2/2013	Sametime Chat Karam and Jenner	HSBC-ST00001567-1568	Hearsay; Foundation
197	7/11/2013	Email Karam to White	HSBCPIC00005024	Hearsay
198	8/8/2013	Email Karam to Picarella	HSBCPIC00005035-5036	Completeness
199	9/18/2013	Sametime Chat Jenner and Karam	HSBC-ST00001576	Relevance; Hearsay
200	9/18/2013	Email Karam to White	HSBCPIC00022487-22492	None
201	9/18/2013	Email White to Karam	HSBCPIC00005857-5863	None
202	9/19/2013	Sametime Chat Jenner and Karam	HSBC-ST00002596-2598	None
203	9/19/2013	Sametime Chat Karam and Edelman	HSBC-ST00002761-2762	Relevance; Hearsay; Prejudicial; Foundation
204	9/23/2013	Email Karam to Picarella	HSBCPIC00004954-4958	None
205	9/23/2013	Email Picarella to Bilbrey and Alderoty	HSBCPIC00004945-4953	None
206	9/27/2013	Email Karam to Picarella	HSBCPIC00004965-4973	None
207	10/2/2013	Sametime Chat Karam and Jenner	HSBC-ST00001582	Relevance; Hearsay; Foundation
208	10/11/2013	Sametime Chat Long and Karam	HSBC-ST00001651	Relevance; Hearsay
209	10/17/2013	Email Rose to Karam	HSBCPIC00005884-5886	Relevance; Hearsay
210	11/25/2013	Email Picarella to Bilbrey	HSBCPIC00001727	None

Pl. Ex. #	Date	Description	Bates Number	Objection
211	12/10/2013	Mid-Year Manager Review	HSBCPIC00000122-126	None
212	1/8/2014	Email Karam to Picarella	HSBCPIC00001156-1167	None
213	1/27/2014	Email Pizzimbono to Picarella	HSBCPIC00002590-2591	None
214	1/29/2014	Email Karam to Karam and Kartik	HSBCPIC00006016-6026	Relevance; Hearsay; Foundation
215	2/25/2014	Email Picarella to Karam	HSBCPIC00001522-1528	None
216	3/14/2014	Email Jang to Weiss, Park, Whang, Reid, and Li	HSBCPIC-RIST00002281	Relevance; Hearsay; Sensitive and confidential personnel information
217	4/14/2014	Email Georgs to Karam	HSBCPIC00002704-2707	Hearsay
218	5/2/2014	Email Picarella to Karam	HSBCPIC00002244-2250	None
219	5/14/2014	Email Jang to Weiss, Park, and Whang	HSBCPIC00007072	Sensitive and confidential personnel information
220	5/16/2014	Year-End Manager Review	HSBCPIC00000127-130	None
221	5/23/2014	Email Karam to Picarella	HSBCPIC00005079	None
222	6/10/2014	Email Picarella to Picarella	HSBCPIC00004549-4553	Completeness
223	11/2011-6/2014	Monthly Calendars	PICARELLA001829-1911	Best evidence; Authenticity; Hearsay
224	8/19/2014	Email Weiss to Weiss	HSBCPIC00002572-2573	None
225	9/24/2014	Email Picarella to Karam	HSBCPIC00006172-6173	Completeness
226	10/6/2014	Email Picarella to Karam	HSBCPIC00006209-6212	Completeness
227	10/8/2014	Email Karam to Picarella	HSBCPIC00002451-2456	Completeness
228	10/8/2014	Email Picarella to Karam	HSBCPIC00002444-2450	Completeness
229	10/10/2014	Email Picarella to Karam and Malanga	HSBCPIC00006189-6191	Completeness

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230	10/15/2014	Email Malanga to Picarella	HSBCPIC00002496-2499	None
231	10/20/2014	Email Picarella to Bilbrey	HSBCPIC00002278-2284	Completeness
232	11/17/2014	Email Picarella to Karam	HSBCPIC00002598-2608	Completeness
233	11/20/2014	Email Li to Weiss	HSBCPIC00007151	Relevance; Hearsay
234	11/21/2014	Email Picarella to Karam	HSBCPIC00006975	Completeness
235	11/21/2014	Mid-Year Manager Review	HSBCPIC00000079-85	None
236	11/22/2014	Mid-Year Review 2014	HSBCPIC00000115-121	None
237	11/24/2014	Email Picarella to Bilbrey	HSBCPIC00004984	None
238	11/24/2014	Email Picarella to Bilbrey	HSBCPIC00006977	None
239	11/25/2014	Record of Investigation	HSBCPIC00005457-5458	None
240	11/26/2014	Email Picarella to Picarella	Picarella005545-5564	Hearsay
241	11/26/2014	Email Malanga to Picarella	HSBCPIC00004985-4987	None
242	12/1/2014	Email Picarella to Bilbrey and Malanga	HSBCPIC000074657 467	None
243	1/12/2015	Record of Investigation	HSBCPIC00005453-5456	None
244	1/15/2015	Letter Marshall to Hubbard	Exhibit 1, Deposition of Roskell	None
245	2/11/2015	NY Post article: "HSBC singles out worker for leaks: sources"	None	None
246	3/31/2015	Kutas Memorandum re: NY Post Inquiry	HSBCPIC00005260-5263	None
247	3/26/2015	Letter Roskell to Picarella	HSBCPIC00005259	None
248	5/1/2011	HSBC US Employee Handbook	HSBCPIC-RIST00000033-150	None
249	5/5/2011	Role Profile – Michael W. Picarella	Picarella000670-675	None
250	5/5/2011	Role Profile – Eileen F. Hedges	Picarella000676-681	None
251	10/14/2011	Sametime Chat DeLuca and Doe	HSBC-ST00000171-172	Relevance, Hearsay, Foundation

<b>Pl. Ex. #</b>	<b>Date</b>	<b>Description</b>	<b>Bates Number</b>	<b>Objection</b>
252	2/6/2012	Email Picarella to Hedges	Picarella000781	Authenticity; Best evidence; Completeness; Relevance; Hearsay
253	2/28/2012	Email Picarella to Hedges	Picarella000794	Authenticity; Best evidence; Completeness; Relevance; Hearsay
254	8/9/2012	Email Picarella to Pizzimbono	Picarella000917-919	Authenticity; Best evidence; Completeness; Relevance; Hearsay
255	8/15/2012	Email Picarella to Pizzimbono	Picarella000931-932	Authenticity; Best evidence; Completeness; Relevance; Hearsay
256	9/4/2012	Email Picarella to Pizzimbono	Picarella000933	Authenticity; Best evidence; Completeness; Relevance; Hearsay
257	9/6/2012	Email Picarella to Pizzimbono	Picarella000934-940	Authenticity; Best evidence; Completeness; Relevance; Hearsay
258	9/11/2012	Email Picarella to Pizzimbono	Picarella000965	Authenticity; Best evidence; Completeness; Relevance; Hearsay
259	9/12/2012	Email Picarella to Pizzimbono and White	Picarella000966-967	Authenticity; Best evidence; Completeness
260	9/12/2012	Email Picarella to Hedges	Picarella000968-970	Authenticity; Best evidence; Completeness; Relevance; Hearsay
261	9/14/2012	Email Picarella to White and Pizzimbono	Picarella000971	Authenticity; Best evidence; Completeness
262	9/19/2012	Email Picarella to Jenner	Picarella000994-995	Authenticity; Best evidence; Completeness; Relevance; Hearsay

<b>Pl. Ex. #</b>	<b>Date</b>	<b>Description</b>	<b>Bates Number</b>	<b>Objection</b>
263	9/20/2012	Email Picarella to Bilbrey	Picarella001000-1001	Authenticity; Best evidence; Completeness; Hearsay
264	9/25/2012	Email Picarella to Agonis	Picarella001009-1011	Authenticity; Best evidence; Completeness; Relevance; Hearsay
265	10/5/2012	Email Picarella to Jenner and White	Picarella001033-1034	Authenticity; Best evidence; Completeness
266	10/11/2012	Email Picarella to Feau and Temkim	Picarella001035-1037	Authenticity; Best evidence; Completeness; Relevance; Hearsay
267	10/22/2012	Email Picarella to Jenner	Picarella001056	Authenticity; Best evidence; Completeness; Relevance; Hearsay
268	10/22/2012	Email Picarella to Jenner	Picarella001057-1058	Authenticity; Best evidence; Completeness; Relevance; Hearsay
269	10/23/2012	Email Picarella to Jenner	Picarella001059-1060	Authenticity; Best evidence; Completeness; Relevance; Hearsay
270	10/24/2012	Email Picarella to Jenner	Picarella001061-1062	Authenticity; Best evidence; Completeness; Relevance; Hearsay
271	11/13/2012	Email Picarella to Jenner	Picarella001069-1074	Authenticity; Best evidence; Completeness; Relevance; Hearsay
272	11/15/2012	Email Picarella to Jenner	Picarella001077-1079	Authenticity; Best evidence; Completeness; Relevance; Hearsay
273	11/27/2012	Email Picarella to White	Picarella001083-1095	Authenticity; Best evidence; Completeness

<b>Pl. Ex. #</b>	<b>Date</b>	<b>Description</b>	<b>Bates Number</b>	<b>Objection</b>
274	11/27/2012	Email Picarella to Bilbrey	Picarella001144-1147	Authenticity; Best evidence; Completeness
275	12/13/2012	Email Picarella to Jenner	Picarella001156	Authenticity; Best evidence; Completeness; Relevance; Hearsay
276	12/17/2012	Email Picarella to Jenner and Brady	Picarella001159	Authenticity; Best evidence; Completeness; Relevance; Hearsay
277	1/25/2013	Email Picarella to Jenner	Picarella001203-1204	Authenticity; Best evidence; Completeness; Relevance; Hearsay
278	1/29/2013	Email Picarella to Jenner	Picarella001215-1218	Authenticity; Best evidence; Completeness; Relevance; Hearsay
279	3/6/2013	Cancelled: Weekly Catch-up	Picarella001264	Authenticity; Best evidence; Completeness; Relevance; Hearsay
280	3/7/2013	Cancelled: Suitability Working Group Meeting	Picarella001265	Authenticity; Best evidence; Completeness; Relevance; Hearsay
281	3/25/2013	Update: Americas Dispensation Review Committee (DRC) weekly	Picarella001279	Authenticity; Best evidence; Completeness; Relevance; Hearsay
282	4/9/2013	GM Business Case Prioritization Meeting	Picarella001286	Authenticity; Best evidence; Completeness; Relevance; Hearsay
283	4/12/2013	Email Picarella to Brady	Picarella001297-1298	Authenticity; Best evidence; Completeness; Relevance; Hearsay
284	4/17/2013	Email Picarella to Jenner	Picarella001300-1302	Authenticity; Best evidence; Completeness; Relevance; Hearsay

Pl. Ex. #	Date	Description	Bates Number	Objection
285	4/22/2013	Email Jenner to Picarella	Picarella001309-1310	Authenticity; Best evidence; Completeness; Relevance; Hearsay
286	4/24/2013	Email Picarella to Bilbrey	Picarella001330	Authenticity; Best evidence; Completeness; Relevance; Hearsay
287	4/24/2013	Email Picarella to Picarella	Picarella001331	Authenticity; Best evidence; Completeness; Hearsay
288	5/1/2013	Cancelled: year end discussion	Picarella001393	Authenticity; Best evidence; Completeness; Relevance; Hearsay
289	5/6/2013	Email Jenner to White	Picarella001436-1437	Authenticity; Best evidence; Completeness; Relevance; Hearsay
290	8/7/2013	Email Picarella to Karam	Picarella001501-1503	Authenticity; Best evidence; Completeness; Hearsay
291	8/8/2013	Email Karam to Picarella	Picarella001504	Authenticity; Best evidence; Completeness
292	10/31/2013	Email Picarella to Bilbrey	Picarella001635	Authenticity; Best evidence; Completeness; Hearsay
293	12/11/2013	Email Picarella to Pizzimbono	Picarella001652-1654	Authenticity; Best evidence; Completeness; Relevance; Hearsay
294	12/11/2013	Email Picarella to Pizzimbono	Picarella001666-1667	Authenticity; Best evidence; Completeness; Relevance; Hearsay
295	12/20/2013	Email Picarella to Karam	Picarella001679-1681	Authenticity; Best evidence; Completeness; Relevance; Hearsay

Pl. Ex. #	Date	Description	Bates Number	Objection
296	12/20/2013	Email Karam to Picarella	Picarella001686-1687	Authenticity; Best evidence; Completeness; Hearsay
297	1/8/2014	Email Karam to Picarella	Picarella001694-1695	Authenticity; Best evidence; Completeness; Hearsay
298	1/8/2014	Email Picarella to Bilbrey	Picarella001707-1708	Authenticity; Best evidence; Completeness; Hearsay
299		Michael Picarella's Resume	Picarella000686-687	Hearsay
300		Composite, Mitigation Documents	Picarella007082-9070	Authenticity; Compound; Hearsay; Foundation
301		Mitigation Log	Picarella009071-9086	Foundation; Hearsay; Best evidence
302	4/22/2014	HSBC Anti-Retaliation Policy	HSBCPIC-RIST00000005-7	
303		HSBC Anti-Harassment Policy	HSBCPIC-RIST00000008-12	
304	4/13/2011	Picarella Offer Letter	HSBCPIC00000031-34	
305		Off-Track Assessment	HSBCPIC000051938	
306	12/18/2012	Email Jenner to Morgan, White, Murr.	HSBCPIC00003371-3375	
307	1/24/2012	Sametime Murray and Picarella	HSBC-ST00003745	
308	6/27/2013	Picarella EEOC Charge	HSBCPIC00000311-324	
309	12/12/2014	NY Post: "The Bank is closed for HSBC sex-harass accuser"		

310	1/3/2015	NY Post: "HSBC banker chatted about 'favor' for a client's son"		
311	11/15/2011	Email Doe to Carlone	HSBCDLTDOC- 00012424-12426	
312	12/18/2012	Email Picarella to White	HSBCPIC00002962-2968	

**CERTIFICATE OF SERVICE**

I HEREBY CERTIFY that on this 5th day of December, 2016, I electronically filed the foregoing Amended List of Exhibits with the Clerk of the Court using the CM/ECF system that will automatically send email notification of such filing to all counsel of record.

/s Asa F. Smith  
Asa F. Smith